

Introducing and Carrying Broker Disclosure For Institutional Clients

ATB Securities Inc., the introducing broker, will be solely responsible for:

1. Supervising all trading activity in your account.
2. Determining the opening and initial approval of your account(s).

We have appointed NBIN/National Bank Independent Network (the “Carrying Broker”) as our agent for clearing and settlement of your transactions with us. As our agent, NBIN/ National Bank Independent Network will:

1. Issue and receive cheques and deliver and receive securities on our behalf with respect to all transactions directed through ATB Securities Inc.,
2. Be responsible for the receipt, the delivery and the safekeeping of funds and securities received from ATB Securities Inc.;
3. Be responsible for issuing confirmation slips and the statements of accounts for all transactions directed through NBIN/ National Bank Independent Network.

NBIN/National Bank Independent Network does not control, audit or otherwise supervise the activities of ATB Securities Inc., or its employees.

I understand the relationship between ATB Securities Inc. and NBIN/National Bank Independent Network.

Signed

Print Name

Date

DAP/COD_{v. 5/15}