

ATB Capital Markets Corp.



Administration and Service Fees
Retail Client Accounts
As of February 2026

Registered Accounts

Includes FHSA, RRSP, RRIF, Spousal, and locked-in accounts. Does not apply to non-registered accounts.

Annual Administration Fees	\$50 per account
Full De-registration of Plan	\$125 per account
Partial De-registration of Plan	\$75 per request
Full or Partial Account Transfer Out	\$125 per request
Unscheduled RRIF Payment or Withdrawal	\$25 per transaction
Private Stock Fee	\$250 set-up \$10 per trade \$100 minimum per annum
Private Company, Small Business Investment and Other Restricted Shares - Initial Receipt, Re-Registration or Transfer	\$250 per transaction
Private Company, Small Business Investment and Other Restricted Shares – Annual Administration	\$100 per item

Tax-Free Savings Accounts

Annual Administration Fees	\$50 per account
Full or Partial Account Transfer Out	\$125 per request

ATB Capital Markets Corp. reserves the right to charge the annual administration fees when a full account transfer occurs prior to the annual fee collection date.

General Service Fees
 Applicable to all account types,
 unless otherwise indicated

Certificate Handling Fee	\$20 per certificate
Full or Partial Account Transfer Out of Non-Registered Account	\$125 per request
Request for Physical Certificate, Deposit, Withdrawal or Registration	\$50 plus third-party costs
Request for Physical Certificate, Deposit, Withdrawal or Registration – 24-hour delivery	\$250 plus third-party costs
Certificate Deposit or Withdrawal – International	\$100 plus third-party costs
Legend Removal	\$500 plus third-party costs
Warrant/Right Exercise	\$20 plus third-party costs
Security Safekeeping Fee	\$50 per security per month
GIC Purchase, Redemption or Registration	\$25-\$75 per request plus third-party costs
Returned Cheque/Non-Sufficient Fund	\$50 per item
Stop Payment of Cheque	\$25 per item
Outgoing Wire – Domestic	\$30 per request
Outgoing Wire – USA	\$30 per request
Outgoing Wire – International	\$65 per request

Estate and Legal Securities Transfer	\$175 per account
DRIP Enrolment	\$10 per account per security
Search for Records, Including Contracts, Statements and Other Records – Prior to the Past Year	\$25 per record

Notes

- All Fees will be charged in the currency of the account.
- All fees are subject to applicable sales taxes.
- Some fees are itemized in this brochure for transactions or services that may not apply to your account. Services not specified in this brochure may incur fees. Please consult with your ATB Capital Markets Corp. representative.

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